CITY COUNCIL REPORT



Meeting Date:

August 21, 2012

General Plan Element:

Land Use

General Plan Goal:

Sensitively integrate land uses into the surrounding settings

ACTION

Acquisition of Control Change for Mabel's on Main 74-LL-2012. To consider forwarding a recommendation to the Arizona Department of Liquor Licenses and Control for an Acquisition of Control Change for an existing Series 6 (bar) liquor license.

OWNER

Mabel's on Main LLC

APPLICANT CONTACT

Randy D Nations

LOCATION

7018 E Main St

BACKGROUND

This request is for an Acquisition of Control Change of a Series 6 (bar) liquor license which has been operating since 2000.

APPLICANT'S PROPOSAL

Goal/Purpose of Request

The applicant is seeking a favorable recommendation on an Acquisition of Control Change for Mabel's on Main. The entities comprising Mabels on Main LLC are changing.

STATE GUIDELINES FOR CONSIDERING AN APPLICATION

A.R.S. Section 4-203 Granting an Acquisition of Control Change.

The new agent must submit an application to the Arizona Department of Liquor Licenses & Control, which is then forwarded to the local governing body. The local governing body of the city, town or county may protest the acquisition of control within sixty days based on the capability, reliability and qualification of the person acquiring control.

OTHER LICENSES & PERMITS

Financial Management

Revenue Collection has reported that the applicant has met City licensing requirements and all fees have been paid.

Spirituous Liquor Tax Permit # Pending.

Scottsdale Transaction Privilege Sales Tax License # Pending.

IMPACT ANALYSIS

Public Safety Division.

Police Department: Recommendation Approval

Major life safety issues: None noted.

Code Enforcement: There are no current cases of code violations at this time in relation to the

liquor license.

COUNCIL OPTIONS & STAFF RECOMMENDATION

Council Options

The City Council has the option of recommending approval, denial or no recommendation to the Arizona Department of Liquor Licenses and Control.

Staff Recommendation

The City of Scottsdale staff has conducted a review and advises that the license request meets the criteria imposed for determining the capability, qualifications and reliability of the applicant.

Next Steps

The City Council's recommendation of approval, denial or no recommendation will be forwarded to the Department of Liquor Licenses and Control for their consideration.

RESPONSIBLE DEPARTMENT(S)

Planning, Neighborhood and Transportation Division Public Safety Division Economic Vitality Division

STAFF CONTACTS (S)

Teri Gleason, Planning Assistant, tgleason@scottsdaleaz.gov Planning, Neighborhood and Transportation Division

Ronald Bayne, Lieutenant, Patrol Enforcement Section, rbayne@scottsdaleaz.gov Public Safety Division

Raun Keagy, Planning, Neighborhood and Transportation Director, rkeagy@scottsdaleaz.gov Planning, Neighborhood and Transportation

APPROVED BY

Tim Curtis, AICP, Current Planning Director 312-4210 <u>tcurtis@scottsdaleaz.gov</u>

2/2/2012

Kroy Ekblaw, Interim Administrator 312-7064, kekblaw@scottsdaleaz.gov

W 8/1/12

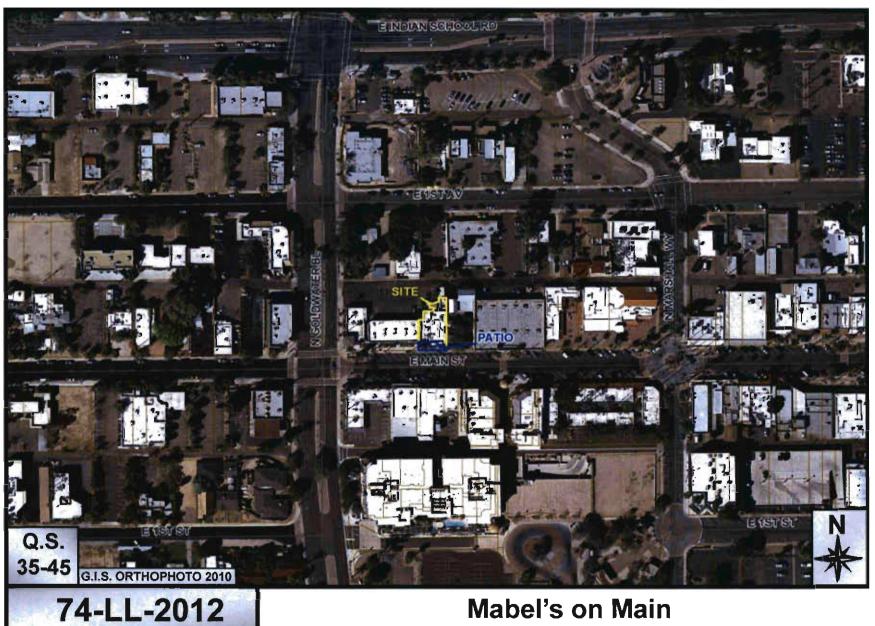
ATTACHMENTS

#1: Vicinity Map

#2: Aerial Map

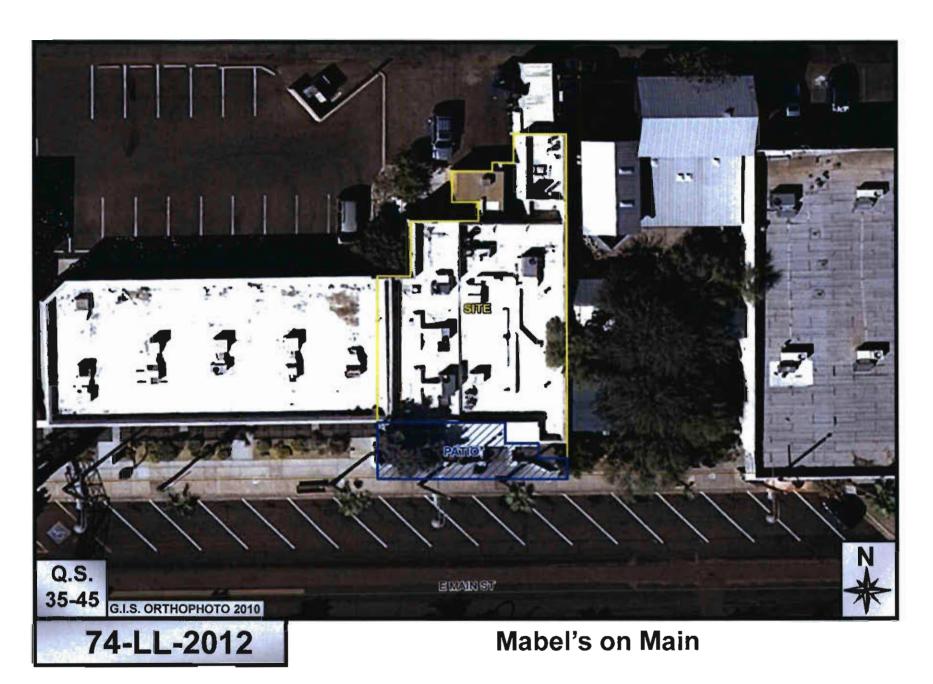
#3: State Application Sections 1-17

#4: State Background Information



Mabel's on Main

ATTACHMENT #1



ATTACHMENT #2

ARIZONA DEPARTMENT OF LIQUOR LICENSES & CONTROL 12 JUL 9 Light Lic, PM 4 03

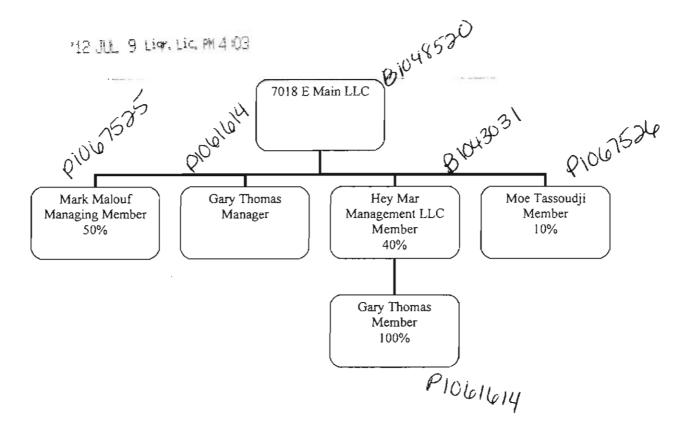
800 W Washington 5th Floor Phoenix AZ 85007-2934 www.azliquor.gov (602) 542-5141

APPLICATION FOR AGENT CHANGE - ACQUISITION OF CONTROL - RESTRUCTURE

| | | | 10 1 | S. Carrie | 7 | 月 岁/ | | | | |
|-------------------------------|-----------------|--|--|---------------------|--------|---|-----------------|--|--------------------------|------------|
| Check — Appropriate Box | Complete | gent Change Sections 1,2,3,4,6 te 1 on back) | Acquisit Complete Sections | ion of C | Ont | rol jing Agent), 6 | Complete S | Restructu ections 1,2,(3, Note 2 on ba | 4 if changing Ag | ent) ,5,6 |
| SECTION 1 | (COM | PLETE THIS SEC | TION FOR AGENT | HANGE, | ACC | UISITION OF | CONTROL | OR REST | RUCTURE) | |
| | Nations | OR EXISTING AGEN | NT (if no agent change) Randy | OR NEW | | и оr corpor \ ()()), (\{\} | ATE OFFIC D. | ER OR L.L.C | . CONTROLLIN 06070179 | IG MEMBER) |
| 2. Corpora | Last ation 🔀 | L.L.C. N/A:_ | First Mabel's On Main LL((Exactly as it appea | | _ | 1043029 | Middle | Corp. File | #: L-152994 | |
| 3. Business I | Name: _ | Mabel's On Main | (Exactly as it appear | ils on Aluc | ics of | B10019 | | _ | | |
| 4. Business A | | 7018 E Main St | | (Exactly a Scott | sdale | ppears on license | Mar | ic o pa | 85251 | |
| | • | o not use P.O. Box i | • | | City | | | YTMUC | Zip | |
| 5. Is the busi | ness loca | ated within the in | corporated limits of | the abo | ve ci | ty or town? | ឱYes □ | No | | |
| 6. Mailing Ad | ldress: F | O Box 2502 | | | | Chandler | | AZ | 85 | 244 |
| J | | | | | | City | | State | | Zip |
| 7. Business F | Phone: (| 480) 899-558 | 80 | | Res | idence Phone | : (480_) | 813-1364 | | |
| 8. Does this to | | | le of any portion of | the corp | orate | stock? XY | ES N | 0 🗌 N/A | If yes, sub | mit a |
| | | | ers? XYES NO | . □N/A | If y | res, submit a d | certified co | ppy of minu | ites. | |
| SECTION 2 | (COMPI | ETE THIS SECT | ION FOR AGENT CH | IANGE, A | CQU | ISITION OF CO | ONTROL C | R RESTRU | CTURE) | |
| | d which i | | ubmit a personal quat the Dept. A per | | | | | | | iire |
| 1. List individ | ual owne | r or partners or a | all directors, officers | in corp. | , me | mbers in LLC: | : | | | |
| Last | | First | Middle | Title | | Residence | Address | | City State | Zip |
| 7018 E Main L | .LC | | | Membe | r. | 8777 N Gaine | y Center D |)r #75 | Scottsdale A | Z 85258 |
| Vojtesak | | Gary | Thomas | Manage | er | 7500 E Doubl | etree Ranc | h Rd #5205 | Scottsdale A | Z 85258 |
| Malouf | | Mark | Arthur | Manage | ≘r | 107 Elm St | _ | Pros | spect Heights | IL 60070 |
| | | | | | | | | | | |
| ! - | | | (ATTACH ADDI | TIONAL SH | JEET(| S) IF NECESSAF | RY) | | | |
| List stockh | olders or | controlling mem | bers owning 10% o | or more o | of Co | rp/LLC: | | | | |
| Last | | First | Middle | % Owned | | Residence / | Address | | City State | Zip |
| 7018 E Main L | LC | | | 100 | 877 | 7 N Gainey Cei | nter Dr #75 | Sc o t | tsdale AZ 85 | 258 |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| LIC0102 4/2009 | | | (ATTACH ADDITI sabled individuals requiring | | | | | | - Tal | 17. |

Date Received 1917

ATTACHMENT #3

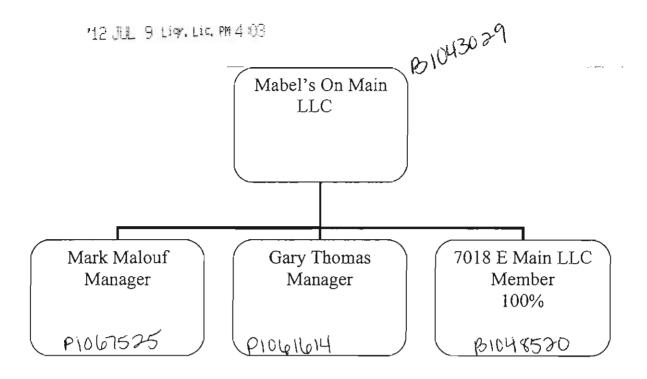


| SECTION 3 (COMPLETE THIS SECTION FOR AG | ENT CHANGE) | 200 0 ± 1.40 | |
|---|--|--|---|
| I. If the corporation/L.L.C. is owned by another entity, A <u>DISCLOSURE</u> for the parent entity. Attach addition | ai sneets as necessary | y in order to disclose re | F, DC, MA 00 DFFICER / MEMBER eal people. |
| As an Agent, will you be physically present and operating | | | |
| If you answered YES, you must provide proof of atter within the last five years <u>before your application for training must be submitted.</u> | | | |
| SECTION 4 (COMPLETE THIS SECTION FOR AG To be completed by the INDIVIDUAL OR EXISTING AGENT | ENT CHANGE) OR CORPORATE OFFICE | ER OR L.L.C. CONTROLL | ING MEMBER: |
| l. License Number: | Date of la | ast renewal: | |
| 2. Current Licensee or Agent: | | | |
| (Exactly as it appears on license) Last | First | | Middle |
| 1 | , hereby consent to | the agent appointment n | amed herein and |
| (Print full name) agree to immediately assign a new agent in the event of the che background report shows that I, the corporation, or any chelony in the past five (5) years, I will immediately surrender that hereby waive all rights to appeal such action. St. | officer, director, member, he license to the Arizona | , or stockholder have be a Department of Liquor L | en convicted of a icenses and Contro |
| (| The foregoing inst | County of trument was acknowledg | ged before me this |
| Signature of INDIVIDUAL/ CORPORATE/CLUB OFFICER/MEMBER) | day of | | |
| | Day | Month | Year |
| My commission expires on: | | Signature of NOTARY PUBLIC | |
| | | | |
| ECTION 5 (COMPLETE THIS SECTION FOR RESTR | UCTURE) | | |
| s there more than one licensed premises involved? \square YES aid for each license/location. | □ NO If yes, <u>SEPAR</u> | ATE APPLICATIONS m | nust be filed and fees |
| ype of current ownership: | Type of new owner | rship: | |
| J.T.W.R.O.S. INDIVIDU AL PARTNERSHIP CORPORATION LIMITED LIABILITY CO. TRUST OTHER Explain | J.T.W.R.O.S. INDIVIDUAL PARTNERSHIP CORPORATION LIMITED LIABIL TRUST OTHER Explain | N LITY CO. | |
| ECTION 6 (COMPLETE THIS SECTION FOR AGENT CH. b be completed by INDIVIDUAL OR EXISTING AGENT (if no agent chan EMBER as listed in Question 1 Section 1: | | | |
| Randy D. Nations | hereby declare that Lan | n the APPLICANT filing t | his application |
| (Print full name) | norchy deciale that I all | TOTAL LICENT IIIII (| nis application. |

have read the application and the contents and all statements are true, correct and complete. Maricopa State of County of The foregoing instrument was acknowledged before me this (Signature of NDJVIDUAL ORAGENTA J PEREZ 2012 6th March day of Notary Public - Arizona TIC OPACIOUNTY Day Year My commission expires on:

NOTE 1: The fee for an agent change MUST be submitted with this application: \$100.00 for the first application and \$50.00 for each additional application, not to exceed \$1,000.00. (A.R.S. 4-209.H)

NOTE 2: The \$100.00 fee for restructure MUST be submitted with this application (A.R.S. 4-209.A)



ARIZONA DEPARTMENT OF LIQUOR LICENSES & CONTROL

Phoenix AZ 85007-2934

800 W Washington 5th Floor (602) 542-5141

2010 2010

Attention all Local Governing Bodies: Social Security and Billingare Information is Confidential. This Information may be given to local law enforcement agencies for the purpose of trackground officers only but must be blocked to be unreadable prior to posting for any outplic view.

Read carefully. This instrument is also procument. Type or print with BLACK INK.

An extensive investigation of your packground will be conducted. False or incomplete answers could result in criminal prosecution and the denial or subsequent revocation of a license or permit.

TO BE COMPLETED BY EACH CONTROLLING PERSON, AGENT, OR WANAGER USE OF PERSON COMPLETING THIS FORM MUST SU APPLICANT TYPE FINGERPRINT CARD AVAILABLE AT THIS OFFICE PRINTS ON EBI-APPROVED CARDS ARE ACCEPTED ENFORCEMENT AGENCIES, BONA FIDE FINGERPRINT SERVICES OF THE PRINTS OF THE APPROVED CARDS ARE ACCEPTED. R SACT PERSON COMPLETING THIS FORM MUST SUBMIT AN ERPRIN SON FBI-APPROVED CARDS ARE ACCEPTED FROM LAW OR THE DEPARTMENT OF LIQUOR. THE DEPARTMENT CHARGES A \$13 FEE. ENFORCEMENT AGENCIES, BONA FIDE FINGERPRINT SERVICES

In addition to other fingerprint fees, a \$22 DPS background check fee will be charged for each fingerprint card.

Liquor License # The fees allowed by A.R.S. § 44-6852 will be charged for all dishonored checks. (If the location is currently licensed)

| - | Check appropriate box ——— | | lling Person Complete Que or Agent must | | a Manager | (Complete All C | Manager (Only) Questions <u>except</u> # 14, 14a & 21) on or Agent <u>must complete</u> # 21 |
|----|---------------------------------|---------------------------|---|--------------|-------------|------------------|--|
| 2 | Vame! Na | ations | R | andy | D. | Date of Bir | |
| | | Last | | First | Mido | De . | (NOT a Public Record) |
| 3. | Social Securit | y Number: | | Drivers Lice | ense #, | | tate: <u>Arizona</u> |
| | | (NOT a pi | ublic record) | emper . | TQM) | a public record) | |
| 4. | Place of Birth: | Morenci | AZ | USA | Height_6'1" | Weight _210 | Eyes: HAZ Hair, BRO |
| | | City | State | Country (not | county) | | |
| 5. | Märital Status | Single X Married | Divorced | Widowed | | | |
| | | ongo - (namo | 21101000 | 111001100 | | | |
| 3. | Name of Curre | ent or Most Recent Spor | use: | Nations | Deborah | Jean Collier | Date of Ban |
| | | ears - Use additional she | | Last | First | Middle Malder | (NOT a public record) |

8 Telephone number to contact you during business hours for any questions regarding this document. 480-730-2675 9. If you have been an Artzona resident for less than three (3) months, submit a copy of your Artzona driver's license or voter registration card. 480-889-5580 10. Name of Licensed Premises: Mabel's On Main Premises Phone:

_____ If Arizona, date of residency

Arizona

11. Physical Location of Licensed Premises Address: 7018 E Main St Scottsdale Maricopa 85251 Street Address (Do not use PO Box #) Clty

| | FROM Month/Year | TO Month/Year | DESCRIBE POSITION OR BUSINESS | (5) years, it unemployed part of the time, list those dates. List most recent-1st. EMPLOYER'S NAME OR NAME OF BUSINESS (street address, city, state & zip) |
|---|--------------------|------------------|----------------------------------|--|
| | 04/93 | CURRENT | President | ALIC Enterprises LLC 1811 S. Alma School Rd Suite 268 Mesa, AZ 85210 |
| ſ | | | | |
| د | Service Co. | | | |

ATTACH ADDITIONAL SHEET IF NECESSARY FOR EITHER SECTION

13. Indicate your residence address for the last fiva (5) years:

7. You are a bona fide resident of what state?

| FROM: Month/Year | TO Month/Year | Rent or Own | RESIDENTIAL Street Address If rented, attach additional sheet with name, address and phone number of landford | City | State | Zip |
|---------------------|------------------|----------------|---|---------|-------|-------|
| 12/95 | CURRENT | Ö | 314 S. Bayshore Blvd | Gilbert | AZ | 85233 |
| | | | | | | |
| | | | | | | 5781 |
| | | | | | | |

Disabled individuals requiring special accommodations, please call the Department. (602) 542-9027

My commission expires on: _

Day

Month

Year

| 712 JUL 9 Ligr. Lic. PM 4 X03 | | | | |
|--|---|------------|-----------|-------|
| If you checked the Manager box on the front of this form skip t | to # 15 | | | |
| 14. As a Controlling Person or Agent, will you be physically present and op If you answered YES, how many hrs/day?, and answer #1 | | | YES. | Alиo |
| 14a. Have you attended a DLLC-approved Liquor Law Training Course with if the answer to # 14a is "NO", course must be completed before an existing license. | ithin the past 5 years? (Must provide proof) | Ŋ | YES | NO |
| 15. Have you been <u>cited</u> , <u>arrested</u> , <u>indicted</u> or <u>summoned</u> into corregardless of the <u>disposition</u> , even if dismissed or expunged, In addition, please include all traffic tickets and complaints with a <u>warrant</u> for arrest <u>AND</u> any traffic tickets and complaints that | within the past ten (10) years? thin the last ten (10) years that resulted in | | YES, | ₽NO |
| 16. Are there <u>ANY</u> administrative law citations, compliance action or summonses <u>PENDING</u> against you or <u>ANY</u> entity in which traffic tickets and complaints. | | al × | YES | NC |
| 17. Have you or any entity in which you have held ownership, bee EVER had a business, professional or <u>figuor application or lic</u> or fined in this or any other state? | - | <u>,</u> × | YES | NO |
| 18. Has anyone <u>EVER filed suit or obtained a judgment against y misrepresentation?</u> | ou, the subject of which involved fraud or | Þ | YES | NO |
| 19. Are you NOW or have you EVER held ownership, been a condirector or manager on any other liquor license in this or any other | | X | YES | NO |
| If any answer to Questions 15 through 19 is "YES Give complete details including dates, age SUBSTANTIVE CHANGES TO THIS APP | ncies involved, and dispositions. | ED | | • |
| | clare that I am the APPLICANT/REPRESE | NTAT | IVE | |
| (print full name of Applicant) filling this questionnaire. I have read this questionnaire and all sta | atements are true, correct and complete. | | | • |
| 11mh 121/15/1 | State of AZ County of N | Lax | 0 1 | 700 |
| (Signeture of Applicant) | The foregoing instrument was ecknowledg | | | l. |
| My commission expires on: My commission expires on: MARIC OPA C:OUNTY DECEM.BER:28, 2012 | day of July Month | | 20 Yes | 12 |
| COMPLETE THIS SECTION ONLY IF YOU ARE APPROVING A MANAGE | | GEN | 1T | |
| 21. The applicant hereby authorizes the person named on this qu The manager named must be at least 21 years of age. | uestionnaire to act as manager for the name | ed liqu | or lice | ense. |
| The manager hamed must be at least 21 years of age. | State ofCounty of | | | |
| | The foregoing instrument was acknowledge | ed befo | re me th | sk |
| X Signature of Controlling Person or Agent (circle one) | day of | | ., | |
| Signature of Controlling Person of Agent (circle one) | Month | | Year | |
| Print Name | (Signature of NOTARY PUBLIC |) | _ | |



P.O. Box 2502 Chandler, Arizona 85244 (480) 730-2675 Phone (480) 730-2676 Fax

This addendum is written in response to questions 16, 17, 18, and 19 on the QUESTIONNAIRE.

In response to question 16, my company is currently contracted with over five hundred (500) liquor establishments statewide, not to mention those who have cancelled services since our inception in 1993. Depending on when this document is reviewed, it's possible that there may be a pending administrative citation, compliance action, arrest or summons against one of them.

In response to question 17, since I began ALIC in April of 1993, I have been associated with hundreds of liquor establishments and liquor licenses. Several of them have received citations (fines) and in some instances, a suspension. I work with the compliance officer on a regular basis acting as a representative for those who utilize my contract service. I do not however, have anything to do with the actual operation of any liquor establishment in this or any other state. Therefore, none of the violations to which I have just referred can be associated to me personally. Additionally, it would be almost impossible for me to give you a reconciliation of these violations, as I have been associated with so many licenses for so many years.

Question 18. In early 1995, I was involved in litigation over the sale of a class six (6) liquor license. The seller in this case presented documents to me, you (DLLC), and the Arizona Department of Revenue, showing him as the president of a corporation that owned a liquor license. He requested my assistance in selling this license, as I am a liquor license broker. The license was brokered and sold by me. Some weeks later I discovered that the individual selling the license on behalf of the corporation was a fraud, therefore the sale of the license illegal. After notifying the purchaser (victim), he instigated a lawsuit against both me and the escrow company used to consummate the sale of the license. The litigation against my company and me was later dropped; I did however have to pay the buyer's attorney's fees. Additionally, I prepared a felony complaint, took it to prior police associates at the Arizona Department of Public Safety and we obtained a five count Grand Jury Indictment and six year prison conviction against the fraudulent seller.

Question 19. I am currently the Administrative Agent on numerous liquor licenses throughout the State of Arizona. I have also owned liquor licenses that were purchased for resale only.

Respectfully Submitted,

Randy Nations, President ALIC

12 JUL 9 Lig. Lic. FARIZONA DEPARTMENT OF LIQUOR LICENSES & CONTROL

800 W Washington 5th Floor Phoenix AZ 85007-2934

502) 542-514

Attention all Local Governing Bodies: Social Security and Birthdate Information is Confidential. This information may be given to local law enforcement agencies for the purpose of background checks only out must be blocked to be unreadable prior to posting or any public view.

Read carefully. This instrument is a sworm document Type or print with BLACK INK An extensive investigation of your packground will be conducted. False or incomplete answers could result in criminal prosecution and the denial or subsequent revocation of a license or permit.

TO BE COMPLETED BY EACH CONTROLLING PERSON, AGENT, OR MANAGER, EACH PERSON COMPLETING THIS FORM MUST SUBMIT AN "APPLICANT" TYPE FINGERPRINT CARD AVAILABLE AT THIS OFFICE PINGERPRINTS ON FBI-APPROVED CARDS ARE ACCEPTED FROM LAW ENFORCEMENT AGENCIES, BONA FIDE FINGERPRINT SERVICES OR THE DEPARTMENT OF LIQUOR. THE DEPARTMENT CHARGES A \$13 FEE.

In addition to other fingerprint fees, a \$22 DPS background check fee will be charged for each fingerprint card.

The fees allowed by A.R.S. § 44-6852 will be charged for all dishonored checks.

Liquor License #

Date of Birth

06070179

Middle

Maiden

(If the location is currently licensed) 1. Check ✓ Controlling Person Agent Manager (Only) (Complete Questions 1-19) appropriate (Complete All Questions except # 14, 14a & 21) Controlling Person or Agent must complete #21 for a Manager Controlling Person or Agent must complete # 21

| 2. Name: | Tassoudji | Me | ре | | Date of B | | |
|-------------|-------------------|-------------------------------|-------------|----------------|----------------|----------------------|----|
| • | Last | F | irst | Middle | • | (NOT a Public Record | 1) |
| 3 , Social | Security Numb | | Drivers Lic | E | | State: AZ | |
| | | (<u>NOT</u> a public record) | | (<u>NOT</u> a | public record) | | |
| 4 . Place o | of Birth: Hamburg | | Germany | Height: _5'10 | Weight: _230 | Eyes: Bro Hair: Blk | |
| | City | State | Country (no | ot county) | | | |

Marital Status ✓ Single Divorced Widowed

Name of Current or Most Recent Spouse:

(List all for last 5 years - Use additional sheet if necessary) (NOT a public record) Arizona 7. You are a bona fide resident of what state? If Arizona, date of residency:

Last

8 Telephone number to contact you during business hours for any questions regarding this document. 480-998-3200

9. If you have been an Arizona resident for less than three (3) months, submit a copy of your Arizona driver's license or voter registration card.

Premises Phone: _480-889-5580 10. Name of Licensed Premises: Mabels on Main

11. Physical Location of Licensed Premises Address: 7018 E Main St Scottsdale 85251 Maricopa Street Address (Do not use PO Box #) County

12. List your employment or type of business during the past five (5) years. If unemployed part of the time, list those dates. List most recent 1st

| FROM Month/Year | | | EMPLOYER'S NAME OR NAME OF BUSINESS (street address, city, state & zip) |
|--------------------|--------------|-----------------|---|
| 5/06 | CURRENT | Managing Member | ZaaZoom Solutions 7600 E Doubletree Ranch Rd Scottsdale AZ 85251 |
| 11/01 | 5/06 | VP | CJ Inc. 8390 Via de Ventura Ste. F110 Scottsdale AZ 85258 |
| | <i>1</i> 237 | | |

ATTACH ADDITIONAL SHEET IF NECESSARY FOR EITHER SECTION

13. Indicate your residence address for the last five (5) years:

| _ | | | The same same same same same same same sam | <u>, v</u> | | | |
|---|------------|------------|--|--|------------|-------|-------|
| | FROM | то | Rent or | TREGIDE(TT) RE GROOT INGRIOCO | | | |
| | Month/Year | Month/Year | Own | If rented, attach additional sheet with name, address and phone number of landlord | City | State | Zip |
| | 8/11 | CURRENT | R | 7479 E Sunnyvale | Scottsdale | ΑZ | 85258 |
| | 12/10 | 8/11 | R | 8007 E Del Mercurio Dr | Scottsdale | AZ | 85258 |
| | 7/07 | 7/05 | 0 | 3754 E San Pedro Pl | Chandler | ΑZ | 85249 |
| | 7/05 | 7/07 | 0 | 8739 San Rafael Dr | Scottsdale | ΑZ | 85258 |

| If you checked the Manager box on the front of this form skip | to #215 UL 9 Ligr. Lic | . PM 4 :03 | |
|---|----------------------------------|-------------------------------|-------------------|
| 14. As a Controlling Person or Agent, will you be physically present and | operating the licensed prem | ises? | YES ✓ NO |
| If you answered YES, how many hrs/day?, and <u>answer, and answer</u> | | | YES ✓ NO |
| If the answer to # 14a is "NO", course must be completed before an existing license. | | | 120 7 110 |
| 15. Have you been cited, arrested, indicted or summoned into or | | | YES ✓ NO |
| regardless of the disposition, even if dismissed or expunged In addition, please include all traffic tickets and complaints v | | | |
| a warrant for arrest AND any traffic tickets and complaints to | | | |
| 16. Are there ANY administrative law citations, compliance action | • | | YES ✓ NO |
| or summonses PENDING against you or ANY entity in which | h you are now involved? | Include only crimina | 1 |
| traffic tickets and complaints. | | | |
| 17. Have you or any entity in which you have held ownership, b | | • | YES ✓ NO |
| EVER had a business, professional or liquor application or or fined in this or any other state? | <u>license rejectea, deniea,</u> | revokea, suspenaea | |
| 18. Has anyone EVER filed suit or obtained a judgment against | you, the subject of which | ch involved fraud or | YES ✓ NO |
| misrepresentation? | | | |
| 10. Are you NOW or have you EVER hold expending been a c | antrolling names, book | on officer member | YES ✓ NO |
| Are you NOW or have you <u>EVER</u> held <u>ownership</u>, been a <u>c</u> director or manager on any other liquor license in this or any | | in <u>onicei, membei,</u> | TES V NO |
| | <u> </u> | <u> </u> | _ |
| If any answer to Questions 15 through 19 is "Y! Give complete details including dates, ag | | | |
| SUBSTANTIVE CHANGES TO THIS AF | | • | :D |
| COBOTATIVE OTTAGES TO THIS AT | | | |
| (print full name of Applicant) filing this questionnaire. I have read this questionnaire and all s x See attached | statements are true, corr | · | |
| | State of | County of | |
| (Signature of Applicant) | | strument was acknowledge f | d before me this |
| | - | Month | Year |
| My commission expires on: | | | |
| Day Month Year | (Sign | ature of NOTARYPUBLIC) | |
| | | | |
| | | | <u> </u> |
| COMPLETE THIS SECTION ONLY IF YOU AF | | | GENT |
| APPROVING A MANAG | SER'S APPLICATIO | N | |
| 21. The applicant hereby authorizes the person named on this | questionnaire to act as n | nanager for the name | d liquor license. |
| The manager named must be at least 21 years of age. | State of | County of | |
| | | strument was acknowledge | |
| x | | | |
| X Signature of Controlling Person or Agent (circle one) | uay or | Month | Year |
| | | | |
| Print Name | (Signa | ature of NOTARY PUBLIC) | |
| My commission expires on: | | | |
| Hy COMMISSION EXPIRES ON. | _ | | |

Day

Month

Year

| if you checked the manager box on the front of this form skip | 10 # 15 12 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 | |
|--|---|----------------|
| 14. As a Controlling Person or Agent, will you be physically present and or If you answered YES, how many hrs/day?, and answer # | | ☐YES ☑NO |
| 14a. Have you attended a DLLC-approved Liquor Law Training Course will fit the answer to # 14a is "NO", course must be completed before an existing license. | ithin the past 5 years? (Must provide proof) | □YES □NO |
| 15. Have you been <u>detained</u> , <u>cited</u> , <u>arrested</u> , <u>indicted</u> or <u>summon</u> ordinance, regardless of the disposition, even if dismissed or (include only traffic violations that were alcohol and/or drug re | expunged, within the past ten (10) years | ☐ YES ØNO |
| 16. Are there <u>ANY</u> administrative law citations, compliance action or summonses <u>PENDING</u> against you or <u>ANY</u> entity in which | | ☐YES ØNO |
| 17. Have you or any entity in which you have held ownership, be EVER had a business, professional or <u>liquor application or lic</u> <u>or fined</u> in this or any other state? | | □YES ØNO |
| 18. Has anyone <u>EVER filed suit or obtained a judgment against y</u> <u>misrepresentation</u> ? | <u>rou,</u> the subject of which involved <u>fraud or</u> | □YES ØNO |
| 19. Are you <u>NOW</u> or have you <u>EVER</u> held <u>ownership</u> , been a <u>condirector</u> or <u>manager</u> on <u>any other liquor license</u> in this or any | | □YES ØNO |
| If any answer to Questions 15 through 19 is "YE: Give complete details including dates, age SUBSTANTIVE CHANGES TO THIS API 20. I, (print full name of Applicant) filing this questionnaire. I have read this questionnaire and all states | encies involved, and dispositions. PLICATION WILL NOT BE ACCEPTED eclare that I am the APPLICANT/REPRESEN atements are true, correct and complete. | TATIVE |
| Christina Se Barbolican Motary Pucic — ARIZONA MARICOPA COUNTY My Commission Expires | State of <u>HY12010</u> County of <u>Max</u> The foregoing instrument was acknowledged 3 day of Feb | before me this |
| My commission expires on: 1 - 11 - 2015 | Month Mini Anu Month (Signature of NOTARY PUBLIC) | Year |
| COMPLETE THIS SECTION ONLY IF YOU ARI | | GENT |
| 21. The applicant hereby authorizes the person named on this q The manager named must be at least 21 years of age. | uestionnaire to act as manager for the named State of County of | • |
| | The foregoing instrument was acknowledged | |
| x | day of | |
| Signature of Controlling Person or Agent (circle one) | Month | Year |
| | (Signature of NOTARY PUBLIC) | |
| Print Name | (O'SHOUNG O' NO LAKE FUBLIC) | |
| My commission expires on: | | |

Day

Month

Year

112.11 9 Ligh Light ARIZONA DEPARTMENT OF LIQUOR LICENSES & CONTROL

800 W Washington 5th Floor Phoenix AZ 85007-2934 602) 542-514.1

Prospect Heights

Ш

60070

Attention all Local Governing Bodies: Social Security and Billidate into matter is Confidential. This information may be given to local law enforcement agencies for the purpose of background checks only but must be blocked to be unreadable prior to posting or any public view.

Read carefully. This instrument is a sworm document. Type or print with BLACK INK.

An extensive investigation of your background will be conducted. False or incomplete answers could result in criminal prosecution and the denial or subsequent revocation of a license or permit.

TO BE COMPLETED BY EACH CONTROLLING PERSON, AGENT: OR MANAGER EACH PERSON COMPLETING THIS FORM MUST SUBMIT AN "APPLICANT" TYPE FINGERPRINT CARD AVAILABLE AT THIS DEFICE. FINGER PRINTS ON EBI-APPROVED CARDS ARE ACCEPTED FROM LAW ENFORCEMENT AGENCIES. BONA FIDE FINGERPRINT SERVICES OR THE DEPARTMENT CHARGES A \$42.555 ENFORCEMENT AGENCIES, BONA FIDE FINGERPRINT SERVICES OR THE DEPARTMENT OF LIQUOR. THE DEPARTMENT CHARGES A \$13 FEE.

In addition to other fingerprint fees, a \$22 DPS background check fee will be charged for each Liquor License # fingerprint card. 06070179 The fees allowed by A.R.S. § 44-6852 will be charged for all dishonored checks. (If the location is currently licensed) 1. Check ✓ Controlling Person Agent Manager (Only) (Complete All Questions except # 14, 14a & 21) appropriate (Complete Questions 1-19) Controlling Person or Agent must complete #21 for a Manager Controlling Person or Agent must complete # 21 box -Mark Arthur Malouf Date of Bil 2. Name: First Middle (NOT a Public Record) Last Drivers Licens Social Security Number (NOT a public record) (NOT a public record) 4 . Place of Birth: Chicago **USA** 5'8 Weight: 155 Eves: Blu Hair. Bro Height: State Country (not county) 5. Marital Status Single ✓ Married Divorced Widowed Malouf Jayne 6. Name of Current or Most Recent Spouse: Last First (List all for last 5 years - Use additional sheet if necessary) (NOT a public record) 7. You are a bona fide resident of what state? If Arizona, date of residency: 8 Telephone number to contact you during business hours for any questions regarding this document. 630-240-3751 9. If you have been an Arizona resident for less than three (3) months, submit a copy of your Arizona driver's license or voter registration card. Premises Phone: 480-889-5580 Mabels on Main 10. Name of Licensed Premises: 11. Physical Location of Licensed Premises Address: 7018 E Main St Scottsdale 85251 Maricopa Street Address (Do not use PO Box #) 12. List your employment or type of business during the past five (5) years. If unemployed part of the time, list those dates. List most recent 1st. DESCRIBE POSITION EMPLOYER'S NAME OR NAME OF BUSINESS FROM TΩ OR BUSINESS Month/Year Month/Year (street address, city, state & zip) 8/06 CURRENT Retired 107 Elm St Prospect Heights IL 60070 ATTACH ADDITIONAL SHEET IF NECESSARY FOR EITHER SECTIONAL 13. Indicate your residence address for the last five (5) years: TO FROM Rent or RESIDENTIAL Street Address If rented, attach additional sheet with name, address and phone number of landlord Month/Year Month/Year Own State Zid

107 Elm St

7/98

CURRENT

| lf vo | u checked | the | Manager | box on | the fro | ont of this | form skip | to# | 15 |
|-------|-----------|-----|---------|--------|---------|-------------|-----------|-----|----|
|-------|-----------|-----|---------|--------|---------|-------------|-----------|-----|----|

Day

Month

Year

| if you checked the manager box on the front of this form skip to | 7 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 | ·· - ~~ |
|--|---|-----------------|
| 14. As a Controlling Person or Agent, will you be physically present and open If you answered YES, how many hrs/day?, and answer #14 | | YES √INO |
| 14a. Have you attended a DLLC-approved Liquor Law Training Course with If the answer to # 14a is "NO", course must be completed before an existing license. | hin the past 5 years? (Must provide proof) | YES ✓ NO |
| 15. Have you been <u>cited</u> , <u>arrested</u> , <u>indicted or summoned</u> into couregardless of the disposition, even if dismissed or expunged, value in addition, please include all traffic tickets and complaints with a warrant for arrest <u>AND</u> any traffic tickets and complaints that | within the past ten (10) years? hin the last ten (10) years that resulted in | ✓ YES NO |
| 16. Are there <u>ANY</u> administrative law citations, compliance actions or summonses <u>PENDING</u> against you or <u>ANY</u> entity in which y traffic tickets and complaints. | | YES ✓ NO |
| 17. Have you or any entity in which you have held ownership, bee EVER had a business, professional or liquor application or lice or fined in this or any other state? | • | YES ✓ NO |
| 18. Has anyone <u>EVER filed suit or obtained a judgment against your misrepresentation?</u> | ou, the subject of which involved fraud or | YES ✓ NO |
| 19. Are you NOW or have you EVER held ownership, been a contiderector or manager on any other liquor license in this or any other | | YES ✓ NO |
| If any answer to Questions 15 through 19 is "YES Give complete details including dates, agen | | |
| SUBSTANTIVE CHANGES TO THIS APP | , · | |
| | | |
| 20. I, Mark Arthur Malouf , hereby dec | clare that I am the APPLICANT/REPRESENT | ΓΔΤΙV F |
| (print full name of Applicant) | , | |
| filing this questionnaire. I have read this questionnaire and all stat | tements are true, correct and complete. | |
| Sic sitalie d | State of County of | |
| (Signature of Applicant) | The foregoing instrument was acknowledged day of | before me this |
| | Month | Үеаг |
| My commission expires on: Day Month Year | (Signature of NOTARYPUBLIC) | |
| | | |
| COMPLETE THIS SECTION ONLY IF YOU ARE APPROVING A MANAGE | | ENT |
| 21. The applicant hereby authorizes the person named on this que | estionnaire to act as manager for the named | liquor license. |
| The manager named must be at least 21 years of age. | State ofCounty of | |
| | The foregoing instrument was acknowledged by | pefore me this |
| X Signature of Controlling Person or Agent (circle one) | day of | , |
| Signature of Controlling Person or Agent (circle one) | Month | Year . |
| | (Signature of NOTARY PUBLIC) | |
| Print Name | | |
| My commission expires on: | | |

DECEMBER:28, COMPLETE THIS SECTION ONLY IF YOU ARE A CONTROLLING PERSON OR AGENT

APPROVING A MANAGER'S APPLICATION

| The applicant hereby authorizes the person named on this The manager named must be at least 21 years of age. | s questionnaire | to act as manager for the nam | ed liquor license. |
|---|-----------------|---|--------------------|
| The manager hames must be at least 21 years or age. | State of | County of | |
| | The | foregoing instrument was acknowledg | ged before me this |
| x | _ | day of | |
| Signature of Controlling Person or Agent (circle one) | 3 | Month | Year |
| 111 | | (Signature of NOTARY PUBLIC | |
| Print Name | | (Signature of NOTART POBLIC | ' } |
| My commission expires on: | | | |
| Oay Month Year | | | |
| | | | |

Oct 20 11 05:03p 12 JUL 9 Ligr, Lic, PM 4 103 QUESTION #15 ON JANUARY 1, 2010 I WAS STOPPED By A SCHAUMBURE, ILLINOIS POLICE OFFICER AND TICKETED FOR LANE LISAGE AND DRIVING UNDER THE INFLUENCE. AT THAT TIME I WAS ARRESTED AND TAKEN TO THE POLICE STATION, PROLESSED, POSTED BOND AND WAS RELEASED. ON MAY 5,2010 I CONTESTED THE CHARGES IN A COURT HEARING IN COOK COUNTY COURTHOUSE IN POLICE MEADONS, IL. AT THAT HEARING JUBGE MANDELTORT ISSUED A FINDING OF "NOT GULTY" ON THE D.U.Z. CHARGE, AND GUILTY OF TILEGAL LANE USAGE. NO OTHER ISSUE HAVE OCCURED WITH REGARD TO THE ABOVE. Sword By: WARE A. NAMOUR

PAGE 04/07

02/29/2012 09:10 6025420010

AZ Corp. Commission

AZ CORPORAZION COMMISSION FLED

FEB 3 4 2012

ARTICLES OF AMENDMENT Pursuant to A.R.S. 29-623 (F)

| 1. | The name of the amind liability company is: |
|----|---|
| ٦. | I NO USELJO OLIZIO SEMBER MEDINÀ COMIDANÀ P |

Makels on Main, LLC

2 Attached hereto as Exhibit A is the text of the amendment.

> day of February Deted this

Signature:

Print Name:

Check One:

DO NOT PUBLISH THIS SECTION

The amendment must be essociated by a manager if menagement of the limited liability company is vested in a manager or by a member if management is reserved to the members.

. AZ CORPORATION COMMISSION FILED

MAR 0 2 2012

11:0000 Res. 100000

Project 2 of 2

AZ CORP COMMISSION

PAGE 05/07

12 JUL 9 Ligr. Lic. PM 4 83

02/29/2012 09:10 5025420010

ACC

PAGE 06/07

EXHIBIT A

(Text of the Amendment)

The name and address of the sole member of the Company is:

7018 East Main, LLC 8777 N. Gainey Center Drive #175 -Scottedate, AZ 85258

The names and addresses of the Managers of the Company are:

Gary Thomas 8777 N. Gelney Center Drive #175 Scotladale, AZ 85256

Mark Malouf 8777 N. Gelney Center Drive #175 Scottedale, AZ 85258

12 JUL 9 Ligr. Lic, PM 4 03

| 84/28 | V 2812 | 15:48 | 5625424C BE | • | AZ CÓRP C | The second | AI Corp. Comission |
|---------|------------------------|------------|-----------------------|--|------------------------------------|-----------------|--|
| ÁZOI | OFFORATE FE | HATEN COMM | | | AZ CORPORATION COMMISSION FILED | | 03484727 |
| | APR 1 | ¥ 1942 | | MAY 1 | | , | |
| M.E | | | £33.£ | FILE NO. 72 | 16/6833 | \$6 | |
| DO Hit | ئە، ھالىلىپىر <u>ا</u> | OWE THIS I | ME POR ACCU | der a | | | |
| | | | | Alcholog 0 | CL L'AMBICATES | 7 | |
| | | | ro | دقاهی بروست | | PART | |
| A | Our sub | | (a. go. 1000 J | andor which we cal | لجياطات بالدخ | شدكرده ب | of Negislation in Admira) is: |
| | | KOTE | AST MA | W. WC | | _ | |
| | | | | | | | |
| The The | | D | ELANA E | Lacio Control | ishiib Compa | V svilleste | ill to do huntrous in the state of |
| Art | | AND THE | | | | | became on tase disriped the |
| | culny : | | | , | | | |
| _ | | | | | | | · · · · · · · · · · · · · · · · · · · |
| | 2 All 1999 | | | | | | |
| | | | | , | | | |
| | | | | C is not marinen ni agregi in Ariso | | Marie, Street (| in littless sure equits by |
| • | | | | | | : | |
| | | | Piero minik e | title of the conjust | الجان والشعبري | rg be saile | p. (mad) |
| EC: | | - | Sec | AntolEO | | | |
| ٠ | _ | | | | | | |
| - | | | | | | | . |
| 2 24 | | | | | | | midned politicant a |
| | | | | raf tim firelige he often Stat in brisk | | -777 | Manage to the second se |
| | | | SECUT | Mile by felgration | ık | 730 | · |
| 1 | , | | Ċ | tjeck com: | A Marshar | M. Jilane | C Authorismi Agent |
| | | | P | riched Marsa: | Gray | Hansa | <u> </u> |
| | | | - | I nighting on | <u> </u> | mily, buyer do | - Annual States |
| | LEGISTRE CONTRACTOR | • | | Page | 7d2 | 4 | lalaniy Çişgəriliyi Çiyasiddir.c Taylaşığının Divistik |
| | | | | | | | |

94/98/2012 15:49 P025424188

AZ CORP CONNESSION

AMERICALENT TO THE ARTICLES OF ORGANIZATION TOTAL EAST HAME, LLC

The Articles of Commission of 7016 East Male, LLC are exceeded on follows:

The names and addresses of the Marchart of the Company was

Hey Silve Memogerment, LLC Strir N. School County Davis, State Tro Sectledate, AZ 85307

Mark Motors 187 Sin Monet Protesta Helpfole, Monde (1887)

Mes Thomas S B777 M. Galony Canter Dalm, Suke 129 Scriberete, AZ 6886

The purpos and addresses of the Managers of the Company set.

Cary Thomas 8777 M. Quinny Cinder Diles, State 176 Circlindate, AZ 88200

Maid: Mohaif 187 Hay Street Principles: Heighto, Mysda 680212

84/28/2012 15:48

EE2542419E

ALL COMP. COMMISSION



I, German V. Brighten, Bernander of State of the State of DESIGNATION, DO MARKET CONTLY THE AUTHORITY OF A THREE AND COMMENT COPY OF THE CANCELLED OF ADDRESSES OF "7018 JOHN MAIN, 140", From the first office on the constraint out of spice, A.D. 2012, ME 2:07 O'CHOCK P.M.



AZ CORF COMOSSION

A45-15. 2012 17:2048

STATE OF DELAWARE CERTIFICATE OF AMENDMENT

| These of Links Links Company | |
|--|---|
| The Continues of Townships of the I | inhel listilly engay is heaty as Cod. As |
| | |
| | |
| | |
| | |
| in With Card William (OF, the state to 13tay-at <u>AP</u> | |
| | alı |
| 3 | <u> </u> |
| • | (September Person) |
| y . | CHAN THOMA |
| _ | Pales or Type |

MINUTES OF A SPECIAL MEETING OF THE MEMBERS of MABEL'S ON MAIN, LLC

This special meeting of the Members of Mabel's on Main, LLC was held on January 6, 2012 at 8777 N. Gainey Center Drive, Suite 175, Scottsdale, Arizona 85258, and was attended by Corri May, Gary Thomas, and Moe Tassoudji.

On December 18, 2009, the Company executed a promissory note payable to 7018 East Main, LLC in the face amount of \$100,000. The note was in payment of Company's portion of tenant improvements constructed at 7018 East Main Street. The note has not been paid and is in default.

Company entered into a Lease agreement with 7018 E Main LLC on July 1, 2009, which lease was amended January 1, 2010. Under the lease amendment, Company's monthly obligation to 7018 East Main is now \$11,500 per month. Company is unable to meet its lease payments.

7018 East Main, LLC has offered to cancel its note and terminate the lease in exchange for the assignment of all ownership interests in the Company to 7018 East Main, LLC

After discussion, it was unanimously

RESOLVED, that the offer of 7018 East Main, LLC is approved and accepted. Corri May will execute an assignment of the 50% membership interest of Sugar & Spice, LLC to Hey Mar Management, LLC. Gary Thomas as manager of Hey Mar will execute an assignment of a 100% membership interest in Company from Hey Mar to to 7018 East Main, LLC which will transfer ownership of the Company to 7018 East Main, LLC is exchange for cancellation of the December 18, 2009 Promissory Note, and the January 1, 2010 lease so upon such assignment 7018 East Main LLC shall own 100% of the membership interests in Company.

Sugar & Spice, LLC

<u>Manager</u> 1-6-12

MEMBERS:
Hey Mar Management, LLC

By:
Gary Thomas, Manager

Manager:
Moe Tassoudji

ASSIGNMENT of MEMBERSHIP RIGHTS AND INTEREST

I, Gary Thomas, am the manager of Hey Mar Management, LLC. Hey Mar Management, LLC is the owner of a 100% membership interest, stock or other form of equity ownership in the following company:

MABEL'S ON MAIN, LLC ("Company")

FOR VALUE RECEIVED, Hey Mar Management, LLC does hereby irrevocably assign, transfer and otherwise convey all of its membership rights and ownership interest in the Company to 7018 East Main, LLC, a Delaware limited liability company ("7018"). Transfer of the membership rights and interest to 7018, is permitted or is otherwise consented to and approved in writing as provided in the Company Operating Agreement and/or By-Laws. A copy of this Assignment shall be delivered to the Manager of the Company with directions that the Company records be modified to reflect the transfer and any applicable membership/stock certificates shall thereafter be issued in the name of the Trust.

HEY MAR MANAGEMENT, LLC

By: _

Gary Thomas, Manager

Date: 1-6-2012

ASSIGNMENT of MEMBERSHIP RIGHTS AND INTEREST

I, Gary Thomas, am the manager of Hey Mar Management, LLC. Hey Mar Management, LLC is the owner of a 100% membership interest, stock or other form of equity ownership in the following company:

MABEL'S ON MAIN, LLC ("Company")

FOR VALUE RECEIVED, Hey Mar Management, LLC does hereby irrevocably assign, transfer and otherwise convey all of its membership rights and ownership interest in the Company to 7018 East Main, LLC, a Delaware limited liability company ("7018"). Transfer of the membership rights and interest to 7018, is permitted or is otherwise consented to and approved in writing as provided in the Company Operating Agreement and/or By-Laws. A copy of this Assignment shall be delivered to the Manager of the Company with directions that the Company records be modified to reflect the transfer and any applicable membership/stock certificates shall thereafter be issued in the name of the Trust.

HEY MAR MANAGEMENT, LLC

By: ____() **y** Gary Thomas, Manager

Date: 1-6-2012

ASSIGNMENT of MEMBERSHIP RIGHTS AND INTEREST

I, Corri May, am the manager of Sugar & Spice, LLC. Sugar & Spice, LLC is the owner of a 50% membership interest, stock or other form of equity ownership in the following company:

MABEL'S ON MAIN, LLC ("Company")

FOR VALUE RECEIVED, Sugar & Spice, LLC does hereby irrevocably assign, transfer and otherwise convey all of its membership rights and ownership interest in the Company to Hey Mar Management, LLC, a Delaware limited liability company ("Hey Mar"). Transfer of the membership rights and interest to Hey Mar, is permitted or is otherwise consented to and approved in writing as provided in the Company Operating Agreement and/or By-Laws. A copy of this Assignment shall be delivered to the Manager of the Company with directions that the Company records be modified to reflect the transfer and any applicable membership/stock certificates shall thereafter be issued in the name of the Trust.

SUGAR & SPICE LLC

Corri Mav. Manager